

T.D
PATENT

Case Docket No. KOBAY17.001AUS

Date: May 30, 2002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Matsushita, et al.)
App. No. : 10/062,189)
Filed : January 30, 2002)
For : PUMP LIGHT SOURCE)
DEVICE FOR OPTICAL)
RAMAN AMPLIFICATION)
AND OPTICAL RAMAN)
AMPLIFICATION SYSTEM)
USING THE SAME)
Group Art Unit : 2874)

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first class mail in an envelope addressed to: United States Patent and Trademark Office, P.O. Box 2327, Arlington, VA 22202, on

May 30, 2002

(Date)

Mark M. Abumeri, Reg. No. 43,458

COPY OF PAPERS
ORIGINALLY FILED

TRANSMITTAL LETTER

United States Patent and Trademark Office
P.O. Box 2327
Arlington, VA 22202

ATTENTION: BOX MISSING PARTS

Dear Sir:

In response to the Notice to File Missing Parts of Application Under 37 CFR 1.53(f), which was mailed by the Office on April 2, 2002, enclosed are:

- (X) An executed Declaration by Inventor(s).
- (X) A Power of Attorney Form and Copy of Assignment.
- (X) Eleven (11) sheets of drawings in compliance with 37 CFR 1.84.
- (X) A Notice to File Missing Parts.
- (X) Return prepaid postcard.
- (X) Fees as calculated below:

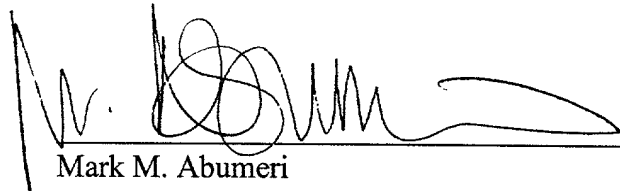
PATENT

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Date: May 30, 2002

FILING FEE	\$ 740
ADDITIONAL CLAIM FEE FOR 2 INDEPENDENT CLAIMS OVER 3	\$ +168
MULTIPLE DEPENDENT CLAIM SURCHARGE	\$ +280
SURCHARGE 37 CFR 1.16(e)	\$ +130
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TOTAL FEES SUBMITTED HEREWITH	\$ 1318

- (X) A check in the amount of \$1318.00 to cover the above fees is enclosed.
- (X) The Commissioner is hereby authorized to charge any additional fees which may be required, now or in the future, or credit any overpayment, to Account No. 11-1410.



Mark M. Abumeri
Registration No. 43,458
Attorney of Record



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/062,189	01/30/2002	Shunichi Matsushita	KOBAY17.001AUS

20995
KNOBBE MARTENS OLSON & BEAR LLP
620 NEWPORT CENTER DRIVE
SIXTEENTH FLOOR
NEWPORT BEACH, CA 92660



CONFIRMATION NO. 9401

FORMALITIES LETTER



OC00000007766775

Date Mailed: 04/02/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

COPY OF PAPERS
ORIGINALLY FILED

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$592.
 - \$144 for 8 total claims over 20.
 - \$168 for 2 independent claims over 3.
 - \$280 for multiple dependent claim surcharge.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1462.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawings submitted to the Office are not electronically reproducible. Drawing sheets must be submitted on paper which is flexible, strong, white, smooth, non-shiny, and durable (see 37 CFR 1.84(e));

06/26/2002 MTEK 00000003 115110
01 FC:103
\$44.00 CH

06/07/2002 BABRAHAI 00000028 10062189

01 FC:101 740.00 DP
02 FC:103 130.00 DP
03 FC:102 168.00 DP
04 FC:104 280.00 DP

06/07/2002
01 FC:101
02 FC:103
03 FC:102
04 FC:104

A copy of this notice MUST be returned with the reply.

M. Karnaal

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

[illegible]